

MEMORANDUM

TO: File No. S7-16-18 - Whistleblower Program Rules

FROM: Katherine Kelly

RE: Meeting with Sean McKessy

DATE: October 2, 2019

On September 27, 2019, Commissioner Allison Herren Lee, Andrew Feller, and Katherine Kelly met with Sean McKessy of Phillips & Cohen. Among the topics discussed were the proposed amendments to the Commission's whistleblower rules.